#### **REMARKS**

Claims 1-3 are pending herein. By the Office Action, claims 1-3 are rejected under 35 U.S.C. §103(a).

## I. Information Disclosure Statement

An Information Disclosure Statement with four Forms PTO-1449 was filed with the application on April 22, 2004. Applicants have not yet received back from the Examiner a copy of the Forms PTO-1449 initialed to acknowledge the fact that the Examiner has considered the cited information. The Examiner is requested to initial and return to the undersigned a copy of the subject Forms PTO-1449. For the convenience of the Examiner, a copy of each form is attached.

# II. Rejection Under 35 U.S.C. §103

The Office Action rejects claims 1-3 under 35 U.S.C. §103(a) over Seto in view of Hirota. The Office Action argues that Seto discloses all of the elements of the claimed invention, except the limitation that the NO<sub>x</sub> absorber also has a function of a particulate filter, but that such a teaching is provided by Hirota. Applicants respectfully traverse this rejection.

The Office Action asserts that Seto discloses all of the features of the claimed invention except for the limitation that the  $NO_x$  absorbent can also function as a particulate filter. The Office Action argues, however, that a combined  $NO_x$  absorbent and particulate filter is conventional, as shown in Hirota. Applicants respectfully disagree.

#### A. The Claimed Invention

According to the claimed invention, a catalyst apparatus for purifying  $NO_x$  is located in the exhaust system <u>upstream</u> of the particulate filter. See independent claims 1, 2, and 3. The catalyst apparatus can thus carry a large amount of catalyst absorbing  $NO_x$  to sufficiently absorb  $NO_x$  in the exhaust gas. When the air-fuel ratio in the catalyst apparatus is made rich, the absorbed  $NO_x$  is released and can be purified by reduction. As a result, according to the

claimed invention, the devices can sufficiently purify NO<sub>x</sub> in the exhaust gas. Such devices are not disclosed in and would not have been obvious over a combination of Seto and Hirota.

A device for purifying the exhaust gas of an internal combustion engine according to the claimed invention, comprises a particulate filter arranged in the exhaust system, which is a wall-flow type and has a partition wall having pores, a catalytic apparatus for purifying NO<sub>x</sub> when the air-fuel ratio in the surrounding atmosphere thereof is lean and releasing the absorbed NO<sub>x</sub> when said air-fuel ratio is stoichiometric or rich, and control means for making the air-fuel ratio in said catalytic apparatus rich to release NO<sub>x</sub> from said catalyst of said catalytic apparatus to purify the released NO<sub>x</sub> by reduction.

The particulate wall of the particulate filter carries a catalyst for absorbing and reducing NO<sub>x</sub> (claim 1), an oxidizing catalyst (claim 2), or an oxygen absorbing agent (claim 3). This material is located on the exhaust gas upstream side surface thereof, and thus an active-oxygen is released from the partition wall. Therefore, the particulates trapped on the partition wall can automatically be oxidized and removed by an active-oxygen released therefrom.

The partition wall has pores, and thus the particulate filter cannot carry a large amount of the catalyst for absorbing and reducing  $NO_x$ . Accordingly, even when the particulate filter carries its catalyst for absorbing and reducing  $NO_x$ , only a part of the  $NO_x$  in the exhaust gas can be purified. To sufficiently purify  $NO_x$  in the exhaust gas, a catalyst apparatus for purifying  $NO_x$  in which a partition wall has no pores and can carry a large amount of the catalyst for absorbing and reducing  $NO_x$  is required in the exhaust system.

According to the claimed invention, such a catalyst apparatus is arranged upstream of the particulate filter. Therefore,  $NO_x$  in the exhaust gas can be sufficiently purified. Additionally, when control means makes the air-fuel ratio in the catalytic apparatus rich to release  $NO_x$  from the catalyst of the catalytic apparatus to purify the released  $NO_x$  by reduction, the catalyst of the catalytic apparatus also releases an active-oxygen similarly with

the catalyst carried on the particulate filter, and thus the active oxygen enters into the particulate filter arranged downstream of the catalytic apparatus, and oxidizes the particulates trapped thereon. Therefore, the particulates trapped on the particulate filter can be easily oxidized and removed by the active-oxygen released from the particulate filter and by an active-oxygen released from the catalytic apparatus when the air-fuel ratio is made rich.

Such devices for purifying the exhaust gas of an internal combustion engine, as claimed, are nowhere taught or suggested by the cited references.

#### B. The References Do Not Disclose the Claimed Invention

Each of claims 1, 2 and 3 specifically require that the particulate filter be a wall-flow particulate filter comprising a partition wall having pores, and that the partition wall carries a generic catalyst (claim 1), specifically an oxidation catalyst (claim 2) or an oxygen absorbing agent (claim 3) on the exhaust gas upstream side surface thereof. Neither Seto nor Hirota teach or suggest the claimed particulate filter, where the catalytic apparatus is located upstream of the particulate filter, or the advantages that it provides.

Seto is asserted to disclose a catalytic apparatus (17). See Seto at Figs. 1 and 9 and the Abstract. According to Seto, the apparatus includes two NOx absorbents, denoted 17 and 20, where the NOx absorbent 17 is located upstream of the NOx absorbent 20. The downstream NOx absorbent 20 is provided to absorb NO<sub>x</sub> released by the NOx absorbent 17. Seto at Abstract. However, that broad generic disclosure in Seto does not disclose, and does not teach or suggest, that the catalytic apparatus is or should be a wall-flow particulate filter comprising a partition wall having pores, where the partition wall carries a catalyst (claim 1), an oxidation catalyst (claim 2), or an oxygen absorbing agent (claim 3); on the exhaust gas upstream side surface thereof. The cited disclosures of Seto do not teach or suggest that such a wall-flow particulate filter could or should be used in preference to any other type of catalytic apparatus, or that such a wall-flow particulate filter would provide any advantages.

Additionally, Seto does not disclose particulars of the catalytic apparatus, and thus does not teach or suggest the specific location of the material on the exhaust gas <u>upstream</u> side surface of the particulate filter, as claimed. Hirota does not overcome these deficiencies of Seto.

Hirota is cited for the asserted disclosure that it is conventional in the art to use a catalyzed particulate filter that carries an NO<sub>X</sub> absorber. The Office Action asserts that it would have been obvious to replace the NO<sub>X</sub> absorbent of Seto with the particulate filter of Hirota to reduce harmful soot emission and save fuel. However, regardless of the disclosures of Hirota, any combination of Seto and Hirota would not have resulted in the claimed invention.

Even if one of ordinary skill in the art was motivated to substitute the particulate filter of Hirota for the NO<sub>x</sub> absorbent of Seto -- an assumption Applicants deny -- the most logical modification of Seto would be to replace the first or upstream NO<sub>x</sub> absorbent 17 of Seto, rather than the second or downstream NO<sub>x</sub> absorbent 20 of Seto. If this modification was made, then the result would be the particulate filter located upstream of the catalytic apparatus, which is contrary to the claimed invention.

Furthermore, neither Seto nor Hirota provide any motivation to select one of the NO<sub>x</sub> absorbent of Seto over the other for the asserted modification. Thus, neither Seto nor Hirota teaches or suggests that the downstream NO<sub>x</sub> absorbent of Seto could or even should be replaced with the particulate filter of Hirota. Any such combination and modification is merely the improper use of hindsight based on the present disclosure, not motivation provided by the cited references.

Accordingly, any combination of Seto and Hirota would not provide the claimed invention. The combination would not provide an apparatus where the catalytic apparatus is located upstream of the particulate filter, such that NO<sub>x</sub> is sufficiently purified and particulates are easily oxidized and removed, as described above. Neither Seto nor Hirota,

Application No. 10/829,160

alone or in combination, teach or suggest an apparatus that provides these benefits of the claimed invention.

## C. <u>Conclusion</u>

Accordingly, one of ordinary skill in the art would not have been motivated, based on the cited references, to practice the claimed invention. The claimed invention of claims 1-3 thus would not have been obvious over the cited references. Reconsideration and withdrawal of the rejection is respectfully requested.

## III. Conclusion

For at least the reasons set forth above, Applicants respectfully submit that the application is in condition for allowance. Favorable reconsideration and prompt allowance of the claims are earnestly solicited.

Should the Examiner believe that anything further would be desirable in order to place this application in better condition for allowance, the Examiner is invited to contact Applicants' undersigned representative at the telephone number set forth below.

Respectfully submitted,

Jarnes A. Oliff Registration No. 27,075

Joel S. Armstrong Registration No. 36,430

JAO:JSA

Enclosures:

Forms PTO-1449 (4)

Date: May 25, 2005

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE
AUTHORIZATION
Please grant any extension
necessary for entry;
Charge any fee due to our
Deposit Account No. 15-0461